The Spectra of Knödel Graphs

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Knödel graphs $W_{d,n}$ are regular graphs on n vertices and degree d. They have been introduced by W. Knödel and have been proved to be minimum gossip graphs and minimum broadcast graphs for $d = \lfloor \log n \rfloor$. They became even more interesting in the light of recent results regarding the diameter, which is, up to now, the smallest known diameter among all minimum broadcast graphs on 2^d vertices. Also, the logarithmic time routing algorithm that we have found, the bipancyclicity property, embedding properties and, nevertheless, Cayley graph structure, impel these graphs as good candidates for regular network constructions, especially in supercomputing. In this paper we describe an efficient way to compute the spectra of Knödel graphs using results from Fourier analysis, circulant matrices and PD-matrices. Based on this result we give a formula for the number of spanning trees in Knödel graphs.

Povzetek: Narejena je analiza Knödelovih grafov.

1 Introduction

Knödel graphs $W_{d,n}$, are regular graphs on even number of vertices n and degree d. They have been introduced by W. Knödel [10] and have been proved to be minimum gossip graphs and minimum broadcast graphs for degree $d = \lfloor \log_2 n \rfloor$.

Recently, it has been proved in [7] that the Knödel graph $W_{d,2^d}$ on 2^d vertices and degree d have diameter $\lceil d/2 + 1 \rceil$, which is the minimum known diameter among all minimum broadcast graphs on 2^d vertices. We believe that this is also a lower bound on diameter for all regular graphs on 2^d vertices and degree d. Also, the logarithmic time routing algorithm that we have found [9], the bipancyclicity property, embedding properties and, nevertheless, Cayley graph structure [6], impel these graphs as good candidates for regular network constructions, especially in supercomputing.

The goal of this study is to compute efficiently the spectra of Knödel graphs, first for $W_{d,2^d}$, and then for arbitrary degree g and number of vertices n. We use results from Fourier analysis, circulant matrices and *PD*-matrices. Based on this result we give a formula for the number of spanning trees in Knödel graphs.

The paper is organized as follows: section 2 gives some definitions, section 3 extracts the general properties of the spectra, section 4 explains the method of computation, section 5 makes some remarks regarding the obtained spectra and section 6 establishes the number of spanning trees.

2 Definitions and notations

If we denote by A the adjacency matrix of a simple graph G, the set of eigenvalues of A, together with their multiplicities, is said to be *the spectrum* of G. If we denote by I the identity matrix, then the *characteristic polynomial* of G is defined as $P(\lambda) = \det |\lambda I - A|$. The spectrum of G will be the set of solutions of the equation $P(\lambda) = 0$.

Knowing the spectrum of a graph has a great impact on other characteristics of the graph. For example, the complexity of a graph is $\kappa(G) = \frac{1}{n} \prod_{k=1}^{n-1} (\lambda_n - \lambda_k)$, where *n* is the number of eigenvalues, and λ_n is the greatest eigenvalue.

Up to now, the spectra are known for some particular graphs: path, cycle, complete graph, complete bipartite graph, complete tree, hypercube, *k*-dimensional lattice, star graph, etc. (see [4] and [8] for further references).

The Knödel graphs $W_{g,n}$ are defined as G(V, E) with |V| = n even, and the set of edges [6]:

$$E = \{(i,j) \mid i+j = 2^k - 1 \bmod n\}$$
(1)

where $k = 1, 2, ..., g, 0 \le i, j \le n - 1, 1 \le g \le |\log_2 n|$.

We denote the adjacency matrix of an undirected graph by $A = [a_{ij}]$, where $1 \le i, j \le |V| = n, a_{ij} = 1$ whenever vertex *i* is adjacent to vertex *j*, and 0 otherwise. If *M* is a matrix, we denote by M^T the transpose of *M*, by \overline{M} the complex conjugate of *M*, by M^* the transpose complex conjugate of *M*, and by M^{-1} the inverse of *M*. We denote by π a permutation:

$$\pi = \begin{pmatrix} 1 & 2 & \dots & n \\ \sigma(1) & \sigma(2) & \dots & \sigma(n) \end{pmatrix},$$
(2)

and by $P(\pi) = (a_{ij})$ the corresponding permutation matrix of π , where $a_{i,\sigma(i)} = 1$ and $a_{i,j\neq\sigma(i)} = 0$.

If $z \in \mathbb{C}$, we denote by \overline{z} the complex conjugate of z, and by $||z|| = \sqrt{z\overline{z}}$ the norm of z.

We denote by $diag(\lambda_1, \lambda_2, ..., \lambda_n)$ the diagonal matrix with the elements of the main diagonal $(\lambda_1, \lambda_2, ..., \lambda_n)$.

We denote by $circ(a_1, a_2, ..., a_n)$ a circulant matrix with the first row $(a_1, a_2, ..., a_n)$. That is, the rest of the rows will be circular permutations of the first row toward right. Thus, it holds that $a_{i,j} = a_{1,i-j+1 \mod n}$. If the step of the shift is an integer $q \neq 1$, we call this matrix a (q)circulantmatrix [12].

We denote by Γ the *inverse permutation* matrix, which is a (-1)circulant: $\Gamma = (-1)circ(1, 0, ..., 0)$. An important property of Γ is that $\Gamma^2 = I$, where I is the identity matrix.

We denote by F the *Fourier* matrix, defined by its conjugate transpose $F^* = \frac{1}{\sqrt{n}} \left[w^{(i-1)(j-1)} \right]$, $1 \le i, j \le n$, where w stands for the n^{th} root of the unity [5]. Two important properties of F are: $F^* = \overline{F}$ and $FF^* = I$.

Other definitions and notations will follow in the places they are used.

3 General graph theory considerations

We observe that the adjacency matrix of the Knödel graphs is a (-1)*circulant* matrix, called also a *retrocirculant* [1], where all the rows are circular permutations of the first row toward left. For example, the adjacency matrix of $W_{3,2^3}$ is:

$$A_{W_{3,2^3}} = \begin{pmatrix} 0 & 1 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$
(3)

Some general remarks can be made about the spectra of $W_{g,n}$:

- All eigenvalues are real since the adjacency matrix is real and symmetric [3].
- The maximum eigenvalue is $\lambda_n = g$, since $W_{g,n}$ is regular of degree g [2].
- All eigenvalues are symmetric with respect to zero [11] since the Knödel graph is bipartite and its characteristic polynomial has the form:

$$P(\lambda) = \lambda^n + a_2 \lambda^{n-2} + \dots + a_{n-2} \lambda^2 + a_n \quad (4)$$

- In particular, for $W_{d,2^d}$, the number of distinct eigenvalues is at least $\left\lceil \frac{d}{2} \right\rceil + 2$ since the diameter is $\left\lceil \frac{d}{2} \right\rceil + 1$ [4].

4 Computing the spectrum of $W_{d,2^d}$

According to [5], a matrix A is (-1)circulant if and only if $A = F^*(\Gamma\Lambda) F$, where $\Lambda = diag(\gamma_1, \gamma_2, ..., \gamma_n)$. This relation can be transformed in $FAF^* = \Gamma\Lambda$. That means that A and $\Gamma\Lambda$ have the same eigenvalue set [5]. The second term is a PD-matrix, defined as a product of two matrices, P and D, where P is a permutation matrix and D is a diagonal matrix. The characteristic polynomial of a PD-matrix can be computed by decomposing the permutation P in prime cycles of total length n [5]. Since Knödel graphs adjacency matrices are (-1)circulants, the problem resumes now to that of determining the values of $\gamma_1, \gamma_2, ..., \gamma_n$. Since $\Gamma\Lambda$ has the form:

$$\Gamma \Lambda = \begin{pmatrix} \gamma_1 & 0 & \dots & 0 \\ 0 & 0 & \dots & \gamma_n \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \gamma_2 & \dots & 0 \end{pmatrix},$$
(5)

we can perform $FAF^* = [c_{ij}] = \Gamma\Lambda$ and identify the terms $c_{11} = \gamma_1, c_{2n} = \gamma_n, \ldots, c_{n2} = \gamma_2$. In order to perform the triple matrix multiplication FAF^* , we note that:

$$F = \overline{F}^* = \frac{1}{\sqrt{n}} \left[w^{-(i-1)(j-1) \bmod n} \right] \tag{6}$$

Since $w^n = 1$ we may skip the modulo operations from the powers. Also, in order to avoid confusion with the summation indices, we emphasize the matrix indices. That is, $[a]_{i,j}$ means that *i* is the row index and *j* is the column index, both varying from 1 to *n*.

$$FAF^{*} = \frac{1}{n} \left[w^{-(i-1)(k-1)} \right]_{i,k} [a_{k,m}]_{k,m} \left[w^{(m-1)(j-1)} \right]_{m,j} = \frac{1}{n} \left[\sum_{k=1}^{n} w^{-(i-1)(k-1)} a_{k,m} \right]_{i,m} \left[w^{(m-1)(j-1)} \right]_{m,j} = \frac{1}{n} \left[\sum_{k=1}^{n} w^{-(i-1)(k-1)} a_{1,m+k-1} \right]_{i,m} \cdot \left[w^{(m-1)(j-1)} \right]_{m,j}$$
(7)

Since in the first row of the adjacency matrix only d values are nonzero, we can change the variable of summation in

the first term of (7): $k \rightarrow r$, where $1 \le r \le d$. Therefore:

$$\begin{split} FAF^* &= \\ \frac{1}{n} \left[\sum_{r=1}^d w^{-(i-1)(2^r - m)} \right]_{i,m} \left[w^{(m-1)(j-1)} \right]_{m,j} = \\ \frac{1}{n} \left[\sum_{m=1}^n \left(\sum_{r=1}^d w^{-(i-1)(2^r - m)} \right) w^{(m-1)(j-1)} \right]_{i,j} = \\ \frac{1}{n} \left[\sum_{m=1}^n \sum_{r=1}^d w^{-(i-1)(2^r - m)} w^{(m-1)(j-1)} \right]_{i,j} = \end{split}$$

$$\frac{1}{n} \left[w^{-(j-1)} \sum_{m=1}^{n} w^{m(j+i-2)} \sum_{r=1}^{d} w^{-2^{r}(i-1)} \right]_{i,j}$$
(8)

Thus, for the general term of FAF^* we obtain:

$$c_{i,j} = \frac{w^{-(j-1)}}{n} \sum_{m=1}^{n} w^{m(j+i-2)} \sum_{r=1}^{d} w^{-2^{r}(i-1)}$$
(9)

The general term of the $\Gamma\Lambda$ matrix from (5) can be expressed as follows:

$$\gamma_p = c_{n-p+2,p} = \frac{1}{n} \left(w^{-(p-1)} \sum_{m=1}^n w^{mn} \sum_{r=1}^d w^{-2^r(n-(p-1))} \right)$$
(10)

But $\sum_{m=1}^{n} w^{mn} = n$ and $w^{-2^{r}(n-(p-1))} = w^{2^{r}(p-1)}$. Thus,

$$\gamma_p = w^{-(p-1)} \sum_{r=1}^d w^{2^r(p-1)} \tag{11}$$

On the other hand, Γ matrix corresponds to the permutation:

$$\pi\left(\Gamma\right) = \begin{pmatrix} 1 & 2 & 3 & \dots & n/2+1 & \dots & n \\ 1 & n & n-1 & \dots & n/2+1 & \dots & 2 \end{pmatrix}$$

This permutation can be decomposed in n/2+1 prime cycles of total length n [5, 1]: (1) $(2, n) \dots (n/2, n/2+2) (n/2+1)$. Thus, the characteristic polynomial will be:

$$P(\lambda) = (\lambda - \gamma_1) \left(\lambda^2 - \gamma_2 \gamma_n\right) \left(\lambda^2 - \gamma_3 \gamma_{n-1}\right) \dots$$
$$\dots \left(\lambda^2 - \gamma_{n/2} \gamma_{n/2+2}\right) \left(\lambda - \gamma_{n/2+1}\right) \quad (12)$$

The eigenvalues set will be:

$$S = \{\gamma_1, \pm \sqrt{\gamma_2 \gamma_n}, \pm \sqrt{\gamma_3 \gamma_{n-1}}, \dots \\ \dots, \pm \sqrt{\gamma_{n/2} \gamma_{n/2+2}}, \gamma_{n/2+1}\}$$
(13)

For the first eigenvalue we obtain:

$$\gamma_1 = \sum_{r=1}^d 1 = d \tag{14}$$

Aitken proved in [1] that, for a (-1)*circulant*, $\gamma_{n/2+1} = a_1 - a_2 + a_3 - \dots - a_n$, where (a_1, a_2, \dots, a_n) are the values of the first row of adjacency matrix. Thus:

$$\gamma_{n/2+1} = \sum_{i=1}^{n} (-1)^{i+1} a_i = \sum_{j=1}^{d} (-1)^{2^j+1} = -d$$
 (15)

For the rest of the eigenvalues we have to evaluate products of the form: $\gamma_t \gamma_{n-t+2}$, $2 \le t \le n/2$. From (11) we have:

$$\gamma_t \gamma_{n-t+2} = \left(w^{-(t-1)} \sum_{r=1}^d w^{2^r(t-1)} \right) \cdot \left(w^{-(n-t+1)} \sum_{r=1}^d w^{2^r(n-t+1)} \right) = \left(w^{-(t-1)} \sum_{r=1}^d w^{2^r(t-1)} \right) \left(w^{(t-1)} \sum_{r=1}^d w^{2^r(n-t+1)} \right) = \sum_{r=1}^d w^{2^r(t-1)} \sum_{r=1}^d \overline{w}^{2^r(t-1)} = \sum_{r=1}^d w^{2^r(t-1)} \sum_{r=1}^d w^{2^r(t-1)} = \left\| \sum_{r=1}^d w^{2^r(t-1)} \sum_{r=1}^d w^{2^r(t-1)} \right\|^2$$
(16)

This confirms the well-known fact that all eigenvalues are real. Thus, the spectrum of $W_{d,2^d}$ is the set:

$$S(W_{d,2^{d}}) = \{\pm d\} \cup \left\{\pm \left\|\sum_{r=1}^{d} w^{2^{r}(t-1)}\right\|\right\}$$
(17)

where $2 \le t \le n/2$.

5 Observations

A. Not all eigenvalues are distinct. We can show that at most (n-4)/2 of them are distinct. If we decompose the norm from (17) in its trigonometric form we obtain:

$$\left\|\sum_{r=1}^{d} w^{2^{r}(t-1)}\right\|^{2} = \left(\sum_{r=1}^{d} \cos \frac{2\pi}{2^{d}} 2^{r}(t-1)\right)^{2} + \left(\sum_{r=1}^{d} \sin \frac{2\pi}{2^{d}} 2^{r}(t-1)\right)^{2} \quad (18)$$

We observe that this norm evaluates to the same value for t = n/4 + 1 - k, and t = n/4 + 1 + k:

$$\left\|\sum_{r=1}^{d} w^{2^{r}(n/4+1-k-1)}\right\|^{2} = \left(\sum_{r=1}^{d} \cos\frac{2\pi}{2^{d}} 2^{r} \left(\frac{2^{d}}{4}-k\right)\right)^{2} + \left(\sum_{r=1}^{d} \sin\frac{2\pi}{2^{d}} 2^{r} \left(\frac{2^{d}}{4}-k\right)\right)^{2} =$$

$$\left(\sum_{r=1}^{d} \cos \frac{2\pi}{2^{d}} 2^{r} \left(\frac{2^{d}}{4} + k\right)\right)^{2} + \left(\sum_{r=1}^{d} \sin \frac{2\pi}{2^{d}} 2^{r} \left(\frac{2^{d}}{4} + k\right)\right)^{2} = \left\|\sum_{r=1}^{d} w^{2^{r}(n/4+1+k-1)}\right\|^{2}$$
(19)

The computations for particular cases yield to the claim that these are the only overlapping eigenvalues.

B. To our knowledge, there is no closed form for the sum from (16). Nevertheless, computations for particular cases suggest that, only for the particular value $t = 2^d/4 + 1$, the sum is evaluated to a closed form:

$$\left\|\sum_{r=1}^{d} w^{2^{r}(2^{d}/4)}\right\|^{2} = \left(\sum_{r=1}^{d} \cos\frac{\pi}{2}2^{r}\right)^{2} + \left(\sum_{r=1}^{d} \sin\frac{\pi}{2}2^{r}\right)^{2} = \left(-1 + 1 + \sum_{r=3}^{d} \cos\frac{\pi}{2}2^{r}\right)^{2} + \left(0 + \sum_{r=2}^{d} \sin\frac{\pi}{2}2^{r}\right)^{2} = (d-2)^{2}$$
(20)

Thus, for $W_{d,2^d}$, the spectrum from (17) can be written as:

$$S_{W_{d,2^d}} = \{ \pm d, \pm (d-2) \} \cup \bigcup \left\{ \pm \left\| \sum_{r=1}^d w^{2^r(t-1)} \right\| \right\}$$
(21)

where $2 \le t \le n/4$ and the last set has multiplicity two.

C. Note that in the formulas (7)-(16) we didn't make any assumptions regarding the number of vertices n nor the degree d. Therefore, the result from (17) can be extended in a similar manner for Knödel graphs with arbitrary degree g and number of vertices n, $W_{g,n}$:

$$S_{W_{g,n}} = \{\pm g\} \cup \left\{ \pm \left\| \sum_{r=1}^{g} w^{2^r(t-1)} \right\| \right\}$$
(22)

where $2 \le t \le n/2$.

For example, for $W_{2,2^k}$, which are cycles C_{2^k} of length 2^k , applying (22), we obtain the spectrum:

$$S_{C_{2^k}} = \{\pm k\} \cup \left\{\pm \left\| w^{2(t-1)} + w^{4(t-1)} \right\| \right\}$$
(23)

where $2 \le t \le 2^{k-1}$. The norm from (23) can be evaluated to $2\cos 2\pi (t-1)/2^k$ as follows:

$$\left\| w^{2(t-1)} + w^{4(t-1)} \right\|^{2} = \left(\cos \frac{2\pi}{2^{k}} 2(t-1) + \cos \frac{2\pi}{2^{k}} 4(t-1) \right)^{2} + \left(\sin \frac{2\pi}{2^{k}} 2(t-1) + \sin \frac{2\pi}{2^{k}} 4(t-1) \right)^{2} = 4 \left(\cos \frac{2\pi}{2^{k}} (t-1) \right)^{2}$$
(24)

Thus, we meet the well-known result [2] that the spectrum of a cycle of length n is the set:

$$S_{C_n} = \left\{ 2\cos\frac{2\pi j}{n} \middle| 1 \le j \le n \right\}$$
(25)

6 The number of spanning trees

An immediate consequence of the spectra of the Knödel graphs $W_{g,n}$ is an $O(ng^2)$ formula for the number of spanning trees. It is well known that, given a graph G on n vertices and degree k, the number of spanning trees can be expressed as:

$$\kappa(G) = \frac{1}{n} \prod_{t=1}^{p-1} (k - \lambda_t)^{m_t},$$
(26)

where λ_t are the eigenvalues, m_t their multiplicities, and p the number of distinct eigenvalues [2]. Thus, for the particular case in which the degree is d and the number of vertices is 2^d , using (21) we obtain:

$$\kappa \left(W_{d,2^{d}} \right) = \frac{d(2d-2)}{2^{d-2}} \prod_{t=2}^{2^{d-2}} \left(d^{2} - \left\| \sum_{r=1}^{d} w^{2^{r}(t-1)} \right\|^{2} \right)^{2} \quad (27)$$

If we further decompose the norm from (27) in its trigonometric form, we obtain:

$$\left\|\sum_{r=1}^{d} w^{2^{r}(t-1)}\right\|^{2} = \left(\sum_{r=1}^{d} \cos\frac{2\pi}{2^{d}} 2^{r}(t-1)\right)^{2} + \left(\sum_{r=1}^{d} \sin\frac{2\pi}{2^{d}} 2^{r}(t-1)\right)^{2} = d + \sum_{i=1}^{d} \sum_{j=i+1}^{d} \cos\frac{2\pi}{2^{d}} \left(2^{i} - 2^{j}\right)(t-1)$$
(28)

Substituting this result in (27) and changing the variable $t \rightarrow t + 1$ we obtain for the number of spanning trees of $W_{d,2^d}$:

$$\kappa \left(W_{d,2^{d}} \right) = \frac{2d(d-1)}{2^{d-2}} \prod_{t=1}^{2^{d-2}-1} \left(d^{2} - d - \Phi \left(t \right) \right)^{2} \quad (29)$$

where:

$$\Phi(t) = \sum_{i=1}^{d} \sum_{j=i+1}^{d} \cos \frac{2\pi}{2^{d}} \left(2^{i} - 2^{j}\right) t$$
(30)

In general, for Knödel graphs having arbitrary degree g and arbitrary number of vertices n, $W_{g,n}$, according to

(22), the number of spanning trees can be expressed as follows:

$$\kappa(W_{g,n}) = \frac{2g}{n} \prod_{t=2}^{n/2} \left(g^2 - \left\| \sum_{r=1}^g w^{2^r(t-1)} \right\|^2 \right)$$
(31)

A straightforward upper bound for the number of spanning trees of Knödel graphs $W_{g,n}$ can be obtained cancelling the norm from (31):

$$\left\|\sum_{r=1}^{g} w^{2^{r}(t-1)}\right\|^{2} = 0$$
 (32)

Therefore, for $\kappa(W_{g,n})$ we obtain the upper bound:

$$\kappa\left(W_{g,n}\right) \le \frac{2g^{n-1}}{n} \tag{33}$$

Since, for Knödel graphs $W_{g,n}$, the degree of a vertex g is upper bounded by $\lfloor \log_2 n \rfloor$ (see (1)), the bound from (33) can be expressed as follows:

$$\kappa\left(W_{g,n}\right) \le \frac{2\left\lfloor \log_2 n \right\rfloor^{n-1}}{n} \tag{34}$$

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